



UN-HABITAT

Technical Briefing on the implementation of resolutions adopted at the second session of the UN Habitat Assembly



2nd November 2023 – 10:00 am

Outcomes of the second session of the UN Habitat Assembly

- ☐ Member States adopted **10 resolutions and 5 decisions** in addition to the Ministerial Declaration.
- ☐ UN-Habitat is now mobilized for the **implementation of the resolutions**:
 - ☐ Action Plans and Roadmaps
 - ☐ Programme Budget Implications (PBIs)

Resolutions:	
HSP/HA.2/RES.1 – International guidelines on people-centered smart cities	HSP/HA.2/RES.6 - Localization of the Sustainable Development Goals
HSP/HA.2/RES.2 - Accelerating Transformation of Informal Settlements and Slums by 2030	HSP/HA.2/RES.7 - Adequate Housing for All
HSP/HA.2/RES.3 - World cleanup day	HSP/HA.2/RES.8 - Urban Planning and Sustainable Infrastructure
HSP/HA.2/RES.4 - Biodiverse and Resilient Cities - Mainstreaming biodiversity and ecosystem services into urban-territorial planning	HSP/HA.2/RES.9 - Creation of a Human Settlements Resilience Framework for early warning, foresight, risk reduction, crises response and post-crises recovery and reconstruction
HSP/HA.2/RES.5 - Enhancing the Interlinkage between Urbanization and Climate Change Resilience championed	HSP/HA.2/RES.10 - Equitable Financing and Effective Monitoring of the Implementation of Resolutions Adopted by the United Nations Habitat Assembly

Implementation Roadmap

- **Calls (experts, EGMs, global study):** September-October 2023
- **Announcement of Experts Working Group members:** November 2023
- **Experts Working Group Kick Off (Virtual):** November 2023
- **1st Experts Group Meeting:** February 2024
- **1st draft international guidelines:** August 2024
- **2nd Experts Group Meeting:** October 2024
- **2nd draft international guidelines:** December 2024
- **3rd Experts Group Meeting:** March 2025
- **Final draft international guidelines:** June 2025

Fundraising Strategy

- **Financial contributions:** member States and other partners
- **In-kind contributions:** Hosting global and regional EGMs; human resources (e.g., JPOs)
- **Technical contributions:** World Smart Cities Outlook
- **Global advocacy and mobilization:** Smart cities events e.g., Kigali, Seoul, Barcelona (2023)

PBI Summary

Post Requirements	Non-Post Requirements	Total Requirements	Existing Resources	Total Requirements less Existing Resources
\$550,663	\$639,888	\$1,179,384	\$311,700	\$867,684

Implementation Roadmap

Year 1 (ongoing or using confirmed resources):

- 1) **Immediate:** Set-up of a **technical support desk for assistance** upon demand (scale and nature of assistance to be determined by the availability of resources) (para 6)
- 2) **Nov 2023 – Feb / Mar 2024:** Review data needs and methods for slum related issues and identify criteria for policy best practices to be integrated with Adequate Housing Platform (para 3(c) and 3(d))
- 3) **Early 2024: Global Publication** on Slum Transformation and development of a **Transformative Action Matrix** (para 7)
- 4) **Feb / Mar 2024: Outline for a technical guideline for a multiple partnership approach** to slum transformation in response to the 10 key actions (para 8)
- 5) **By Sep 2024: Sub-regional strategy framework for SADC countries;** regional assessments and engagement frameworks for other regions against the 10 key actions (para 7)

Following years (subject to the availability of resources):

- 1) Organisation of a **global conference building on the Global Action Plan Initiative launched in South Africa**
- 2) Expert group meetings and finalization of **the technical guidelines for a multiple partnership approach to slum transformation**
- 3) **Partner mobilization to invest in slum transformation** with diverse business models and delivery packages for diverse actors
- 4) **Capacity building and technical support** to selected countries with **inputs from several partners**
- 5) Development of **key joint initiatives** to accelerating the implementation **in 10 countries in different regions**
- 6) Mobilization of **financing partners to diversifying the investments in slum transformation**



Fundraising Strategy

- **Mobilization of potential champion countries and financing partners** for supporting global normative technical support desk, sub-regional frameworks, hosting advocacy and knowledge events and technical support to LDCs, countries with slum population above 50% as well as with outstanding urbanization and expected slum growth rates
- **Resource mobilization outreach to selected finance partners** for funding the technical guidelines
- **Match leading partners with financing partners** to efficiently contribute and coordinate
- **Mobilization of financing partners** to support different work streams towards the 10 key actions of slum transformation
- **Synergies with ongoing partners** and UN-Habitat Global, Regional and Country Programmes

PBI Summary

Post Requirements	Non-Post Requirements	Total Requirements	Existing Resources	Total Requirements less Existing Resources
340,200	405,613	\$745,813	\$in kind	\$745,813

Implementation Roadmap

- **Informal consultations** in New York during October 2023 on the draft procedural resolution proclaiming 20 September as the World Cleanup Day.
- **24-hour silence procedure** launched on 27th October 2023 by the Government of Estonia.
- Ongoing discussions with **the office of the UNGA regarding submission of the resolution** which will be on 27 December 2023 or during the first plenary in January 2024 for approval.
- After the adoption of the resolution, preparation for the observance will start with the launch of the **Expression of Interest to host** the World Cleanup Day 2024.

Fundraising Strategy

As with the World Habitat Day and World Cities Day, UN-Habitat will facilitate the proposed World Cleanup Day through an open expression of interest to host the observance, as costs of all activities should be met from voluntary contributions.

PBI Summary

Post Requirements	Non-Post Requirements	Total Requirements	Existing Resources	Total Requirements less Existing Resources
\$181,433	\$450,110	\$631,543	\$0	\$631,543

Implementation Roadmap

- Sida biodiversity funding is jump-starting initial normative work (OP2)
- Funded staff time is compiling best practices and guidelines (OP2 a and b)
- Funded AoC with University of Pennsylvania has begun toolkit (OP2 d)
- Advisory group to be established (OP3) if recurring funds are secured
- Capacity building (OP3) and pilots (OP5) will be pursued once extrabudgetary funds are secured
- Draft toolkit to be presented to Executive Board last session of 2024
- ED to report on implementation at UNHA-3

Fundraising Strategy

- Co-champions consulted to help mobilize funds from other Member States
- Foundation scalability model would establish a new full-time P4
- Sida biodiversity funding jump-starting tool development in Latin America and the Caribbean.
- Momentum could generate resource for capacity building in region
- CAF funding to ROLAC may establish a pipeline for future projects

PBI Summary

Post Requirements	Non-Post Requirements	Total Requirements	Existing Resources	Total Requirements less Existing Resources
\$627,175	\$1,103,215	\$1,730,390	-	\$1,730,390

Implementation Roadmap

- Supporting the **COP28 presidency** with the **Local Climate Action Summit** to be held on 2 December 2023 (all OPs).
- **2nd Ministerial Meeting on Urbanization and Climate Change**, hosted by the COP28 Presidency, UN-Habitat and the UN Climate Change High-Level Champions for COP28 to be held on 6 December in Dubai. (OP1 and OP2).
- Engaging in discussions with the UNFCCC to **institutionalize Ministerial Meetings** on Urbanization and Climate Change at future COPs. (OP1 and OP2)
- Operationalizing the **Multilevel Action and Urbanization Pavilion** at COP28, as the global stage for city climate action (OP1 and OP 8)
- Engagement in **Regional Climate Weeks** and other opportunities to highlight UN-Habitat’s climate action work (all OPs).
- Develop **advocacy, communications material** as well as **regional climate change strategies** (OP1, OP3, OP4, OP5 and OP6).
- Developing **value propositions for each track under SURGe** and strengthening the urban lens in new and updated NDCs. (OP1, OP3, and OP7).
- Fully **operationalizing SURGe** by the 12th World Urban Forum (OP1, OP3 and OP8)
- **Scaling up the RISE UP portfolio** through standardized methodology and tool, capacity building trainings, as well as development of pipeline projects (OP1, OP5, and OP6).
- **Diversifying the donor base** of the RISE UP portfolio, including finalizing the GCF accreditation submission for small-scale projects (max. 50 mil USD) (OP1, OP4, and OP6)
- Distributing **knowledge products, resilience design solutions and praxis** resulting from the RISE UP portfolio through the SURGe platform to scale up ambitious, tested, and bankable climate action solutions (OP1, OP3, OP5, and OP8).



Fundraising Strategy

- SURGe – work packages have been developed and engagement options have been shared with key partners and will be shared with member states.
- RISE UP – a resource mobilization strategy is under development and has been informally presented to vertical climate funds.
- UN-Habitat’s Scalability model further supports the rollout of SURGe and RISE UP
- Regional Climate Change and Urban Environment strategies are under development by regional offices.
- A frameworks agreement with the Green Climate Fund for readiness proposals and the accreditation as multilateral implementing entity is underway.

PBI Summary

Post Requirements	Non-Post Requirements	Total Requirements	Existing Resources	Total Requirements less Existing Resources
\$308,467	\$260,167	\$568,634	\$25,817	\$542,817

Implementation Roadmap

- **September 2023:** Launch of Local2030 High Impact Initiative (SDG Summit) and meetings on Women-Led Cities (part of SDG Cities Initiative); engagement with Latam Development Banks on Regional Just Green Local Transition; LoI signing with International Olympic Committee as SDG Cities Partner
- **October 2023:** Launch of Secretary-General's Advisory Group on Local And Regional Governments; third SDG Cities Global Conference; Launch of Ghana SDG Cities Hub (supporting 10 cities)
- **November 2023:** First set of initiatives on localization funded through the Call for Seed Funding; launch of Lusophone SDG Cities Network
- **December 2023:** Proposed meeting of resolution Champions to discuss resource mobilisation strategy; Expert Group Meetings on Local Finance Framework; Completion of the SDG Cities digital platform; Launch of Women-Led Cities Pilot Initiatives
- **March 2024:** Local2030 Coalition office fully operational in Bilbao, retreat of all UN entities participating in Coalition; launch of Kosovo and Tunisia SDG Cities Hubs, working with 50+ cities; establishment of Cities Investment Facility
- **July 2024:** Mobilisation of all UN entities in support of SDG localization (through Local2030 Coalition); additional funding for Localization Window of Joint SDG Fund; expansion of Local2030 Hubs and SDG Cities Hubs; HLPF/LRGF/VLR-VSR Days
- **October 2024:** Local2030 Hubs meeting to engage more partners and stakeholders in SDG localization
- **December 2024:** Continuing the implementation of ongoing SDG localization projects including SDG Cities in 25 countries and over 120 cities; Establishment of at least 10 SDG Cities Hubs.
- **December 2025:** Expansion of project activities (subject to funding availability) in over 30 potential countries; additional funding for Localization Window of Joint SDG Fund

Continuous: Creation of new normative tools and roll-out of technical capacity building programmes and digital tools

Continuous: Scaling up of SDG Cities and roll-out of Urban Monitoring Framework

Continuous: Mobilization of local and regional governments in upcoming high-level and UN-led events

Fundraising Strategy

- **Core** - Scalability model (inclusion of post and non-post costs)
- **Soft-earmarked** – BMI 2024-25 (approved); SIDA PCA 2024-25 (subject to SIDA approval); Finland 2024 (subject to Ministry of Environment approval); WUF12 Funds (subject to WUF agreement finalisation)
- **In-kind** – JPOs; non-reimbursable loans; secondments
- **Earmarked** – Resource mobilisation through sub-national governments ; for projects through UNDA and other pooled funds, as well as bilateral donors and development cooperation agencies
- **Joint fundraising efforts with Italy, Spain, Brazil** – Socialising the SDG localization agenda, Localization window, Local2030, G20-PLIC, through Spanish EU presidency, Italian G7 presidency, Brazil's G20 Presidency, etc.)

PBI Summary

Recurrent Resource Requirements

Post Requirements	Non-Post Requirements	Total Requirements	Existing Resources	Total Requirements less Existing Resources
\$829,483	\$1,175,665	\$2,005,149	\$500,000	\$1,505,149

One-Time Resource Requirements

Post Requirements	Non-Post Requirements	Total Requirements	Existing Resources	Total Requirements less Existing Resources
\$0	\$2,181,800	\$2,181,800	\$0	\$2,181,800

Grand Total: \$3,686,949

Implementation Roadmap: Open-Ended Intergovernmental Expert Working Group on Adequate Housing for All

- **Immediate: Note Verbale** addressed to Chairs of Regional Groups inviting the nomination of two representatives of each region to partake in the **Preparatory Reference Group** for the establishment of the Open-Ended Intergovernmental Expert Working Group on Adequate Housing for All.
- **By end 2023: First meeting of the Preparatory Reference Group** to lead the review of substantive agenda and procedures to establish the Intergovernmental Expert Working Group.
- **Feb - Mar 2024: circulate documents** for Executive Board
- **Mar-Apr 2024 (TBC by Exec Board): Consultation with UN-Habitat's Executive Board** on proposed dates, duration, activities and programme of work of the first meeting of the Intergovernmental Expert Working Group.
- **Early 2024: Note Verbale** addressed to all Member States inviting them to the first meeting of the Intergovernmental Expert Working Group.
- **Aug / Sept 2024: circulate documents** for inaugural meeting of the Intergovernmental Expert Working Group
- **Late 2024 (TBC by Exec Board): Inaugural meeting** of the Intergovernmental Expert Working Group, electing officers, adopting its rules of procedure and work programme.

Implementation Roadmap: Adequate Housing for All Platform

- **Immediate: Initiate assessment of available platforms and resources** related to achieving access for all to adequate, safe and affordable housing
- **November 2023:** initiate consultations on framework for measuring and reporting on adequacy of housing
- **Feb – Mar 2024: finalise and circulate assessment** of available platforms and resources
- **Mar – ongoing (subject to resources): data collection and policy and best practice compilation**
- **Mar – Jun 2024 (subject to resources): conceptual design of the platform**
- **May – Sep 2024 (subject to resources): Develop data visualization tools and techniques**
- **May – Sep 2024 (subject to resources): Design and construction of digital platform (phase 1)**
- **Sep 2024 (subject to resources): circulate documents** for Intergovernmental Expert Working Group, including progress on framework for measuring adequacy of housing, mapping of existing multilateral and bilateral support for effective housing policies, programmes and projects, taxonomy and progress in population of database on policy best practices etc.

Fundraising Strategy

- Direct engagement with **Member States**:
 - Emphasizing the significance of the resolution
 - Demonstrating the positive impact that predictable financial contributions can make.
 - Providing concrete examples of how funds will be used to advance housing adequacy.
 - Discussion of support during high-level bilateral meetings (HLPF, G8,..).
- Sustainable **funding and reporting**:
 - Advocacy for a predictable funding environment, complemented by regular reporting, ensures transparency and maintains confidence.
- Collaboration with **Financial Institutions**
 - Exploration of partnerships with financial institutions to support initiatives aligned with the resolution's goals (piloting and demonstration projects, thematic discussions, data collection, development of the Platform, etc)

PBI Summary

Operational Paragraph	Post Requirements	Non-Post Requirements	Total Requirements	Existing Resources	Total Requirements less Existing Resources
Intergovernmental Working Group	\$286 533	\$211 182	\$497 715	\$0	\$497 715
Open Platform	\$236 233	\$199 642	\$435 875	\$75,000	\$435 875

Implementation Roadmap

- Implementation coordination established, across the Planning, Finance, Basic Services areas of work.
- **Platform (OP1)**
 1. Assessment of the feasibility of including the resolution requirements in the Our City Plan Platform (existing)
 2. Incremental integration (starting with urban mobility) in the OCP Platform (ongoing)
- **Financial Institutions (OP5)**
 1. Outreach and connection of other initiatives in-house, such as the Capital Investment Funding (private sector, etc).
- **Capacity Building and Creation of Labs (OP6)**
 1. Expansion and strengthening of existing work based on country specific demand.
 2. Organise joint missions within existing projects to start developing joint understanding and integrated tools.
 3. Build on ongoing work, and existing demand to initiate establishment of Labs/Centres of Excellence (Guinea Conakry, Morocco, Kenya (Nakuru etc.). Expression of interest welcome.
- **Assessment of Member States needs (OP3)**
 1. Preparation of a request to member states building on the IG-UTP questionnaire.

Fundraising Strategy

- Upfront investments required for OP1 (Platform), demand and existing/new field projects to cover OP6 (Capacity/Labs).
- **Concept note and work plan** as basis to engaging with various organisations/donors: African Development Bank and their Municipal Development Funds – engagement at senior level, political support.
- Capacity Building component (OP1 and OP3) included in **SIDA proposal for 2024-25**.
- **Member States** to consider direct support through **cash or in-kind contribution**, including seconded personnel.
- Initial activities (**joint technical assistance missions**) to be funded through existing projects.

PBI Summary

Post Requirements	Non-Post Requirements	Total Requirements	Existing Resources	Total Requirements less Existing Resources
\$648,321	\$1,636,656	\$2,284,977	\$20,000	\$2,264,977

Implementation Roadmap

Phase 1 (2023-2025)

- 2023-2025: Develop and finalise the framework, starting with a scoping paper on changes since the Sustainable Relief and Reconstruction Policy (2008)
- 2023-2024: Establish a network of partners for each topic of the framework (*early warning, foresight, risk reduction, crisis response and post-crisis recovery*) and develop joint programming
- 2024: Form and convene the coalition of partners at WUF 12 in Cairo for an initial consultation on the zero draft of the framework

Phase 2 (2025-2030)

- 2025-2030: Operationalise the framework
- 2029: Review the achievements under the framework, including impact assessment

In parallel, UN-Habitat will re-activate the emergency response fund, which will support the operationalisation of the framework globally.

Fundraising Strategy

Some elements of the resolution will be implemented, pending availability of funding. This includes: (1) mapping of global best practices, (1.b) facilitating access to science and data on crises, and (1.c) development of the capacities of UN Member States and observer States for resilience building and risk reduction.

The fundraising strategy will consist of:

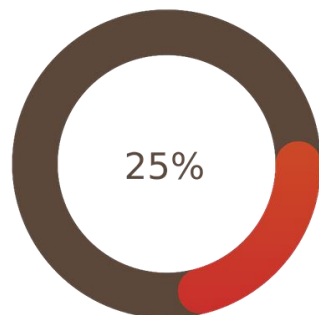
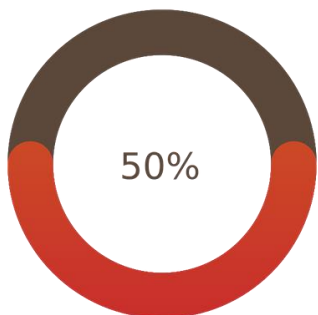
- Resource mobilization from traditional and non-traditional donors
- Service delivery/secondment of UN-Habitat's experts to other UN agencies and INGOs (UNHCR, UNDP, IFRC, etc.)
- Strategic partnerships at technical level (UNDP, WMO, etc.)
- Allocation of human resources from existing programmes/partners (JPOs, secondment of staff from UN agencies, etc.)

PBI Summary

Post Requirements	Non-Post Requirements	Total Requirements	Existing Resources	Total Requirements less Existing Resources
\$ 1,081,700	\$442,288	\$1,523,988	\$-	\$1,523,988

Implementation Roadmap

- **Done ✓**: Set up the urban action funding window in the financial system
- **2023**: Establish its terms of reference
- **2024**: Revise the contribution template to include project balances



2024-25: Design and develop the web page on UNHA resolution tracking

2024-25: Establish mechanism to collect and publish information

Fundraising Strategy

- No direct fundraising is anticipated:
 - \$75K required
 - \$27K from existing resources
 - Balance of \$48K to come from available project balances



PBI Summary

Post Requirements	Non-Post Requirements	Total Requirements	Existing Resources	Total Requirements less Existing Resources
\$52,575	\$22,387	\$74,962	\$26,758	\$48,204



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Comments, questions?



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Lessons learnt from the second session of the United Nations Habitat Assembly



Thank you!

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